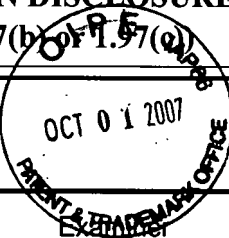


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) of 1.97(q))**

Docket No.

14701

In Re Application Of: Syuuichi Kariyazaki



Application No.

09/876,396

Filing Date

June 7, 2001

Colleen A. Matthews

Customer No.

23389

Group Art Unit

2811

Confirmation No.

7345

Title: SEMICONDUCTOR DEVICE

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14701

In Re Application of: Syuuichi Kariyazaki

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/876,396	June 7, 2001	Colleen A. Matthews	23389	2811	7345

Title: SEMICONDUCTOR DEVICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

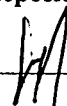
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
September 27, 2007 (Date)
_____ Signature of Person Mailing Correspondence
Paul J. Esatto, Jr. Typed or Printed Name of Person Mailing Certificate

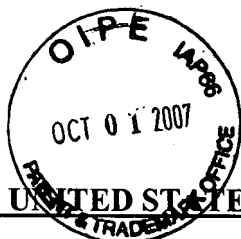
*This certificate may only be used if paying by deposit account.

Dated: September 27, 2007



Signature
Paul J. Esatto, Jr.
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cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Syuuichi Kariyazaki

Examiner: Colleen A. Matthews

Serial No.: 09/876,396

Art Unit: 2811

Filed: June 7, 2001

Docket: 14701

For: SEMICONDUCTOR DEVICE

Dated: September 27, 2007

Conf. No.: 7345

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Laid-Open No. 2-244755, dated September 28, 1990, together with English translation.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 27, 2007.

Dated: September 27, 2007

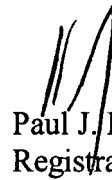

Paul J. Esatto, Jr.

Applicant is submitting a copy of the above-cited reference required by 37 C.F.R.

1.98 (a)(2)(i) and (ii).

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'P. Esatto, Jr.', written over the printed name.

Paul J. Esatto, Jr.
Registration No. 30,749

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